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# NOTICE OF ALLOWANCE AND FEE(S) DUE

90238 7590 03/24/2011 Edwards Angell Palmer & Dodge LLP P.O. Box 55874 Boston, MA 02205 EXAMINER
HUBER, PAUL W

ART UNIT PAPER NUMBER
2627

DATE MAILED: 03/24/2011

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/582,264      | 05/21/2007  | David A. Waldman     | 87492(301691)       | 4793             |

TITLE OF INVENTION: METHODS AND APPARATUS FOR THE FIXING OF HOLOGRAPHIC MEDIA IN HOLOGRAPHIC DATA STORAGE SYSTEMS

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$300               | \$0                  | \$1810           | 06/24/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| appropriate. All further of indicated unless correcte maintenance fee notificat                             | correspondence includir<br>d below or directed oth<br>ions.   | ng the Patent, advance on<br>nerwise in Block 1, by (a   | rders and notification of many specifying a new corresponding to the cor | naintenance fees wil<br>condence address; a  | I be mailed to the current<br>nd/or (b) indicating a sep  | t correspondence address as<br>arate "FEE ADDRESS" for  |
|---|---|--|--|--|---|---|
| 90238<br>Edwards Angel  | NCE ADDRESS (Note: Use BI<br>7590 03/24<br>l Palmer & Dodg  |  | Fee(;<br>pape<br>have  | s) Transmittal. This<br>rs. Each additional <sub>l</sub><br>its own certificate c  | certificate cannot be used to apper, such as an assignment of mailing or transmission.                              | or domestic mailings of the<br>for any other accompanying<br>ent or formal drawing, must<br>smission<br>g deposited with the United |
| P.O. Box 55874<br>Boston, MA 02205  |   |  | State<br>addre<br>trans  | s Postal Service wit<br>essed to the Mail S<br>mitted to the USPTO   | h sufficient postage for fir<br>Stop ISSUE FEE address<br>O (571) 273-2885, on the d                                | g deposited with the United<br>st class mail in an envelope<br>above, or being facsimile<br>ate indicated below.                    |
|   |   |  |  |  |   | (Depositor's name)  |
|   |   |  |  |  |   | (Signature)   |
|   |   |  |  |  |   | (Date)  |
| APPLICATION NO.   | FILING DATE   |  | FIRST NAMED INVENTOR   | I  | ATTORNEY DOCKET NO.   | CONFIRMATION NO.  |
| 10/582,264  | 05/21/2007  |  | David A. Waldman   |  | 87492(301691)   | 4793  |
| ITTLE OF INVENTION<br>SYSTEMS   | I: METHODS AND A  | PPARATUS FOR THE   | FIXING OF HOLOGRAF   | PHIC MEDIA IN H  | OLOGRAPHIC DATA S   | TORAGE  |
| APPLN. TYPE   | SMALL ENTITY  | ISSUE FEE DUE  | PUBLICATION FEE DUE  | PREV. PAID ISSUE   | FEE TOTAL FEE(S) DUE  | E DATE DUE  |
| nonprovisional  | NO  | \$1510   | \$300  | \$0  | \$1810  | 06/24/2011  |
| EXAMI   | INER  | ART UNIT   | CLASS-SUBCLASS   |  |   |   |
| HUBER, PAUL W 2627  |   | 2627   | 369-103000   |  |   |   |
| "Fee Address" indi<br>PTO/SB/47; Rev 03-0.<br>Number is required.  3. ASSIGNEE NAME AN<br>PLEASE NOTE: Unla | ondence address (or Cha<br>1/122) attached.<br>cation (or "Fee Address<br>2 or more recent) attached<br>ND RESIDENCE DATA<br>ess an assignee is ident<br>n in 37 CFR 3.11. Comp | nge of Correspondence  "Indication formed. Use of a Customer  A TO BE PRINTED ON Third iffied below, no assignee | 2. For printing on the part (1) the names of up to or agents OR, alternative (2) the name of a single registered attorney or a 2 registered patent attor listed, no name will be part PATENT (print or type data will appear on the part a substitute for filing an attempt (B) RESIDENCE: (CITY)  | 3 registered patent ely, e firm (having as a n gent) and the names neys or agents. If no printed.  e) tent. If an assignee assignment. | nember a 2of up to o name is 3  | locument has been filed for   |
| 4a. The following fee(s) a  |   | 41   | b. Payment of Fee(s): (Please A check is enclosed. Payment by credit care  | se first reapply any   | previously paid issue fee   |   |
| Advance Order - #   | of Copies   |  | The Director is hereby overpayment, to Depos   | authorized to charge<br>it Account Number  | e the required fee(s), any de<br>(enclose a   | eficiency, or credit any<br>an extra copy of this form).  |
| 5. Change in Entity Stat  a. Applicant claims   | us (from status indicated<br>S SMALL ENTITY statu   |  | ☐ b. Applicant is no long  | er claiming SMALI  | ENTITY status. See 37 C   | FR 1.27(g)(2).  |
| NOTE: The Issue Fee and interest as shown by the r  | l Publication Fee (if requecords of the United Sta  | uired) will not be accepte<br>tes Patent and Trademark   | d from anyone other than the Office.   | e applicant; a regist  | ered attorney or agent; or t  | he assignee or other party in   |
| Authorized Signature  |   |  |  | Date   |   |   |
| Typed or printed name   |   |  |  | Registration No  |   |   |
| This collection of information application. Confident submitting the completed this form and/or suggestion. | ation is required by 37 Ciality is governed by 35 application form to the ons for reducing this but   | FR 1.311. The information U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the                | on is required to obtain or re<br>1.14. This collection is esti<br>depending upon the indivi-<br>e Chief Information Office  | etain a benefit by the<br>mated to take 12 mi<br>dual case. Any com<br>r, U.S. Patent and T  | public which is to file (an<br>nutes to complete, includi<br>ments on the amount of ti<br>rademark Office, U.S. Dep | d by the USPTO to process)<br>ng gathering, preparing, and<br>me you require to complete<br>partment of Commerce, P.O.              |

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|------------------|--------------------|-----------------------------|---------------------|------------------|
| 10/582,264       | 05/21/2007         | 05/21/2007 David A. Waldman |                     | 4793             |
| 90238 75         | 90 03/24/2011      | P                           | EXAMINER            |                  |
| _                | Palmer & Dodge LLI |                             | HUBER, PAUL W       |                  |
| P.O. Box 55874   |                    |                             |                     |                  |
| Boston, MA 02205 |                    |                             | ART UNIT            | PAPER NUMBER     |
|                  |                    |                             | 2627                |                  |

DATE MAILED: 03/24/2011

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 261 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 261 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

|  | Application No.  | Applicant(s)   |  |  |  |
|--|--|--|--|--|--|
|  | 10/582,264   | WALDMAN ET AL.   |  |  |  |
| Notice of Allowability   | Examiner   | Art Unit   |  |  |  |
|  | Paul Huber   | 2627   |  |  |  |
| The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313   | (OR REMAINS) CLOSED in this app<br>or other appropriate communication<br>IGHTS. This application is subject to<br>a and MPEP 1308. | olication. If not included will be mailed in due course. <b>THIS</b> |  |  |  |
| 2. The allowed claim(s) is/are <u>1-5, 8-22, 25-34, 46-64 and 71 respectively)</u> .   | (renumbered as claims 1-15, 24-38,   | . 46-49, 16-23, 39-45 and 50,  |  |  |  |
| 3. Acknowledgment is made of a claim for foreign priority ur  a) All b) Some* c) None of the:  1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  | be been received. be been received in Application No cuments have been received in this i  | national stage application from the                                  |  |  |  |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give  |  |  |  |  |  |
| <ul> <li>5. CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.</li> <li>(a) including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached</li> <li>1) hereto or 2) to Paper No./Mail Date</li> <li>(b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date</li> <li>Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).</li> </ul> |  |  |  |  |  |
| 6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT  |  |  |  |  |  |
| Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material  | 5. ☐ Notice of Informal P 6. ☐ Interview Summary Paper No./Mail Dat 7. ☑ Examiner's Amendn 8. ☐ Examiner's Stateme 9. ☐ Other      | (PTO-413),<br>e  |  |  |  |
| /Paul Huber/<br>Primary Examiner, Art Unit 2627  |  |  |  |  |  |

Art Unit: 2627

**EXAMINER'S AMENDMENT** 

An examiner's amendment to the record appears below. Should the changes and/or additions be

unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of

such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

In the specification, page 1, line 2; between "This Application" and "claims priority", the following was

inserted: -- is a 371 of PCT/US04/41793, filed December 12, 2004, which ---

Any inquiry concerning this communication or earlier communications from the examiner should be directed

to Paul Huber whose telephone number is 571-272-7588. The examiner can normally be reached on IFP-Patent

Hoteling Program.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hoa Thi

Nguyen can be reached on 571-272-7579. The fax phone number for the organization where this application or

proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information

Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or

Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more

information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the

Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like

assistance from a USPTO Customer Service Representative or access to the automated information system, call

800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Paul Huber/

Primary Examiner, Art Unit 2627

pwh

March 16, 2011